

致: 佳兆业金融集团有限公司 – 结算部  
To: Kaisa Financial Group Company Limited – Settlement Department  
香港中环皇后大道中 99 号中环中心 30 楼  
30/F, The Center, 99 Queen's Road Central,  
Central, Hong Kong

传真号码  
Fax No: (852) 3965-7188

日期  
Date: \_\_\_\_\_

## 投资者/股票交收指示表格 Investor / Securities Settlement Instruction (I.S.I./S.I.) Form

客户名称  
Account Name: \_\_\_\_\_ 户口号码  
Account Number: \_\_\_\_\_

本人/吾等特此通知贵公司  
I/We hereby instruct your Company to

将下列股票存入本人/吾等之证券户口  
RECEIVE the following securities for my/our securities  
account

由本人/吾等之证券户口提取下列股票  
DELIVER the following securities for my/our securities  
account

并透过中央结算及交收系统, 按以下内容执行指示  
via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

对手经纪名称  
Name of counter-party: \_\_\_\_\_ 交收日期  
Settlement Date: \_\_\_\_\_

对手经纪/投资者中央结算代号  
Counter-party/Investor CCASS I.D. No. \_\_\_\_\_ 联络人姓名  
Contact Person: \_\_\_\_\_ 电话  
Tel. No. \_\_\_\_\_

股票号码 Stock Code	股票名称 Stock Name	股票数目 No. of Shares	交收方式 Settlement Method
			<input type="checkbox"/> i 无须付款 Free of Payment <input type="checkbox"/> ii 付予 Against payment of 港币 HK\$ ( )
			<input type="checkbox"/> i 无须付款 Free of Payment <input type="checkbox"/> ii 付予 Against payment of 港币 HK\$ ( )
			<input type="checkbox"/> i 无须付款 Free of Payment <input type="checkbox"/> ii 付予 Against payment of 港币 HK\$ ( )
			<input type="checkbox"/> i 无须付款 Free of Payment <input type="checkbox"/> ii 付予 Against payment of 港币 HK\$ ( )

本人/吾等确认上述股票的实益拥有权并没有因进行本交收指示而出现改变。 本人/吾等亦承诺就本交收指示所可能令贵公司蒙受或承担的任何费用或开支, 当中包括但不限于印花税, 向贵公司作出弥偿及使之获得弥偿。  
I/We confirm that there is no change in beneficial ownership through effecting this settlement Instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

附注 Remarks: i 请于合适方格内☑ Please ☑ the appropriate box ii 如未有注明, 交收数额则根据有关交易之款项作交收 If not specified, the settlement amount shall adopt the relevant transaction value iii 如欲即日完成交收程序, 务必于当日 <b>中午十二时前</b> 填妥此文件交付或传真至结算部 Instructions for same day settlement have to be reached our Settlement Department <b>before 12:00noon</b> on the settlement day	客户签署 Client's Signature(s)
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### For Office Use Only

Signature Verified by	Prepared by	Checked by	Approved by
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