



公司帐户开户表格 Corporate Account Opening Form

经纪代号 AE Code				帐户号码 A/C No.																		
1. 港股帐户类别 (please "√" as appropriate 如适用请加 "√") Type of Hong Kong Securities Account																						
<input type="checkbox"/> 现金帐户 Cash Account										<input type="checkbox"/> 保证金帐户 Margin Account												
2. 其他增值服务 Other additional service																						
<input type="checkbox"/> 互联网交易服务 Internet Trading Service										<input type="checkbox"/> 美股及其他海外股票市场交易服务 U.S. and Overseas Stock Trading Service												
请替我/我们开立以上的帐户, 我/我们确实已审阅及明白本开户申请表、有关协议书和风险披露声明的内容, 并同意接受协议书所约束。 Please open the above account for me/us, I/we hereby confirm that I/we have read and understood the contents of this Account Opening Form, the relevant Agreement(s) and the Risk Disclosure Statements and that I/we accept to be bound by the same.																						
3. 客户资料 Client Information																						
公司名称 Company Name		(英文 English)								(中文 Chinese)												
公司注册号码 C.I. No.										香港商业登记号码 B.R. No. in H.K.												
注册日期 Date of Incorporation		/ /								注册地点 Place of Incorporation												
公司性质 Nature of Entity		<input type="checkbox"/> 独资 Sole Proprietorship		<input type="checkbox"/> 上市公司 Public Listed Company				<input type="checkbox"/> 离岸公司 Off-shore Company														
		<input type="checkbox"/> 合伙 Partnership		<input type="checkbox"/> 私人有限公司 Private Limited Company				<input type="checkbox"/> 其他 Others: _____														
业务性质 Nature of Business																						
注册地址 Registered Address																						
主要办事处地址 Principal Business Address																						
通讯地址 Correspondence Address																						
公司电话号码 Company Tel No.										传真号码 Fax No.												
电邮地址 E-mail Address																						
户口结单收取方式 Method of Account Statement Collection		<input type="checkbox"/> 邮递 By Mail		<input type="checkbox"/> 注册地址 Registered Address				<input type="checkbox"/> 通讯地址 Correspondence Address														
		<input type="checkbox"/> 电邮 By Email		<input type="checkbox"/> 办事处地址 Business Address																		
指定银行帐户 Designated Bank Account		货币 Currency	银行名称 Name of Bank		帐户名称 Account Name				帐户号码 Account No.													
		港币 HKD	_____		_____				_____													
		美元 USD	_____		_____				_____													
		人民币 CNY	_____		_____				_____													
4. 董事资料 Director(s) Information																						
(1) 董事姓名 Director Name										国籍 Nationality												
身份证/护照号码 ID / Passport No.						出生日期 Date of Birth				电话号码 Tel. No.												
地址 Address:																						
(2) 董事姓名 Director Name										国籍 Nationality												
身份证/护照号码 ID / Passport No.						出生日期 Date of Birth				电话号码 Tel. No.												
地址 Address:																						
(3) 董事姓名 Director Name										国籍 Nationality												
身份证/护照号码 ID / Passport No.						出生日期 Date of Birth				电话号码 Tel. No.												
地址 Address:																						



5. 主要股东资料 Major Shareholder(s) Information (5%持股或以上 5% holding or above)				
(1) 股东姓名 Shareholder Name		国籍 Nationality		
身份证/护照号码 ID / Passport No.		出生日期 Date of Birth	电话号码 Tel. No.	
地址 Address:				
(2) 股东姓名 Shareholder Name		国籍 Nationality		
身份证/护照号码 ID / Passport No.		出生日期 Date of Birth	电话号码 Tel. No.	
地址 Address:				
(3) 股东姓名 Shareholder Name		国籍 Nationality		
身份证/护照号码 ID / Passport No.		出生日期 Date of Birth	电话号码 Tel. No.	
地址 Address:				
6. 帐户操作 Operation of the Account				
1. 帐户可由以下人士操作 The Account(s) may be operated by:				
<ul style="list-style-type: none"> ■ (如果以书面作出指示)由下列获授权签署人根据客户的经核证董事会决议案所载的签署安排操作(其签名式样载于其中); 或 (If the instructions in writing) The Authorized Signer(s) named below (whose specimen signature(s) is/are set out in the client's certified Board resolutions), in accordance with the signing arrangements set out in such certified Board resolutions; or ■ (如果口头作出指示)由下列交易代表根据客户的经核证董事会决议案所载的安排操作。 (If the instructions are oral) The Trading Representative(s) named below in accordance with the operating arrangements set out in the client's certified Board resolutions. 				
签署授权: 任何 _____ 位获授权人单独联合签署 Signing Instruction: Any _____ of the Authorized Signer(s) signing singly/jointly 交易授权: 任何一位交易人员作出交易指示 Trading Authorization: Given by any one of the Trading Representative(s)				
2. 帐户的获授权签署人是否与交易代表相同? <input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No				
Is/Are the Authorized Signer(s) same as the Trading Representative(s) for the Account(s)				
帐户的获授权签署人 Authorized Signer(s) for the Account				
姓名 Name	1.	2.	3.	4.
身份证/护照号码 ID / Passport No.				
联络电话 Contact No.				
授权人签署 Authorized Person Signature				
帐户的获授权交易代表 Authorized Trading Representative(s) for the Account				
姓名 Name	1.	2.	3.	4.
身份证/护照号码 ID / Passport No.				
联络电话 Contact No.				
授权人签署 Authorized Person Signature				
7. 财务状况 Financial Background				
收入来源 Source of Fund	根据最近的审计帐目于 _____ / _____ (日期) Based on latest audited accounts as at _____ / _____			
<input type="checkbox"/> 营运收入 Operational Income <input type="checkbox"/> 投资收入 Investment income <input type="checkbox"/> 利息收入 Interest income <input type="checkbox"/> 股东资金/合伙人注资 Funds from shareholder(s) / capital contributions from partners <input type="checkbox"/> 出售投资 Sale of investment <input type="checkbox"/> 租金收入 Rental income <input type="checkbox"/> 其他 Others: _____	除税后盈利 Profit after Tax <input type="checkbox"/> HKD\$0 – HK\$500,000 <input type="checkbox"/> HK\$500,000– HK\$1M <input type="checkbox"/> HK\$1M – HK\$5M <input type="checkbox"/> HK\$5M – HK\$10M <input type="checkbox"/> >HK\$10M <input type="checkbox"/> 亏损 Loss HK\$ _____		资产净值 Net Asset Worth <input type="checkbox"/> <HK\$1M <input type="checkbox"/> HK\$1M – HK\$5M <input type="checkbox"/> HK\$5M – HK\$10M <input type="checkbox"/> HK\$10M – HK\$50M <input type="checkbox"/> >HK\$50M	

**8. 客户投资经验及目标 Client Investment Experience and Objective**

投资经验 Investment Experience	曾投资/买卖的产品 Investment Products	投资目标 Investment Objectives	估计投资金额 Estimated Investment Amount
<input type="checkbox"/> 没有 Nil <input type="checkbox"/> 少于 1 年 < 1 year <input type="checkbox"/> 1 - 3 年 years <input type="checkbox"/> 3 - 5 年 years <input type="checkbox"/> 5 - 10 年 years <input type="checkbox"/> 10 年以上 >10 years	<input type="checkbox"/> 没有 Nil <input type="checkbox"/> 股票 Stocks <input type="checkbox"/> 衍生权证/牛熊证 Warrants/CBBC <input type="checkbox"/> 期货/期权 Futures/Options <input type="checkbox"/> 外汇/黄金 Forex/Bullion <input type="checkbox"/> 债券/基金 Bonds/Funds <input type="checkbox"/> 其他 Others: _____	<input type="checkbox"/> 资本增值 Capital Appreciation <input type="checkbox"/> 股息回报 Dividend Yield <input type="checkbox"/> 对冲 Hedging <input type="checkbox"/> 投机 Speculation <input type="checkbox"/> 其他 Others: _____	<input type="checkbox"/> <HK\$200,000 <input type="checkbox"/> HK\$200,000 – HK\$1M <input type="checkbox"/> HK\$1M – HK\$3M <input type="checkbox"/> HK\$3M – HK\$10M <input type="checkbox"/> >HK\$10M

负责代表客户作出投资决定的人士:

The person(s) who is/are responsible for making investment decisions for or on behalf of the Customer has/has:

- 并没有衍生产品之认识
No knowledge of derivative product
- 已接受有关介绍一般衍生产品之性质及风险的培训或课程 (例如学术机构或金融机构所提供之课程)
Undergone training or attended courses on derivative products that provide general knowledge of the nature and risks of derivatives (e.g. courses offered by academic or financial institutions)
- 拥有与衍生产品有关之工作经验
Had working experience related to derivative products
- 有相关之交易经验, 即我们于过去三年曾执行过五次或以上有关衍生产品之交易
Had relevant trading experience i.e. We have executed five or more transactions in derivative products within the past three years

9. 身份声明 Identity Declaration

<input type="checkbox"/> 是* Yes	客户是否作为代理人公司替第三者(最终实益拥有人/最终受益于交易及承担风险人士/最终负责发出指示的人士)处理帐户? Is the client acting as nominee company for a third party (the ultimate beneficial owner of this Account / person ultimately benefiting from the transactions and bearing the risk/ person ultimately responsible for originating instructions for the account)?
<input type="checkbox"/> 否 No	如是*, 户口的最终权益拥有人(包括通过代理人或信托人而持有利益之受益人)是 If yes*, details of the ultimate beneficial owner(s), including a beneficiary holding an interest through a nominee or trust, is/are 名称 Name: _____ 身份证/护照号码 ID/Passport No.: _____ 地址 Address: _____
<input type="checkbox"/> 是* Yes	客户、其任何股东或董事、高级人员、合伙人、获授权人士、最终负责就帐户作出指示的人士或帐户的实益拥有人(统称为「有关人士」)是否证监会之持牌人或注册人? Is the Client, any of its shareholders, or directors, senior officers, partners, authorized person(s), the person(s) ultimately responsible for giving instructions for the account or the beneficial owners of the account (collectively the "Relevant Person(s)") a Licensed or Registered Person of the Securities and Futures Commission of Hong Kong?
<input type="checkbox"/> 否 No	如是*, 请列出持牌人或注册人的姓名: _____ If Yes*, please specify the name of the Licensed or Registered Person(s) _____ *请附上阁下雇主之开户同意书 Please attach a consent letter of account opening from your employer
<input type="checkbox"/> 是* Yes	客户或帐户的有关人士是否有被委任为重要公职, 例如重要政客或高级政府官员、司法或军事官员、国有企业高级行政人员及重要政党干事或其家人或有密切联系人士? Is the Client or any of the Relevant Persons politically exposed person ("PEP"), a person connected with PEP, senior government official or senior executive of a state-owned corporation?
<input type="checkbox"/> 否 No	如是, 请列出该有关人士之姓名 _____ If Yes, the name of the relevant person _____
<input type="checkbox"/> 是* Yes	客户或帐户的有关人士是否与佳兆业金融集团有限公司之董事或雇员有任何关系? Does the Client or any of the Relevant Persons have any relationship with the director(s) or employee(s) of Kaisa Financial Group Company Limited?
<input type="checkbox"/> 否 No	如是, 请列出该董事、职员或代表之姓名及阁下与此人之关系 _____ If Yes, the name of the director, employee or representative and relationship with him/her _____
<input type="checkbox"/> 是* Yes	客户或帐户的有关人士是否佳兆业金融集团有限公司的任何附属公司的董事或雇员? Is the Client or any of the Relevant Persons of the Account(s) a director or an employee of any subsidiary of Kaisa Financial Group Company Limited?
<input type="checkbox"/> 否 No	如是, 请列出该董事、职员或代表之姓名 _____ If Yes, the name of the director, employee or representative _____

10. 关连保证金帐户资料 Related Margin Account(s) Information

*(保证金帐户适用 For Margin Accounts Only)

<input type="checkbox"/> 是* Yes	客户是否属于以下情况: (i) 客户控制某一公司百分之三十五或以上的投票权, 而该公司是佳兆业金融集团有限公司的保证金客户, 和/或(ii) 客户为某一集团公司之一成员公司, 而该成员公司是佳兆业金融集团有限公司的保证金客户? Does the Client (i) control 35% or more of the voting rights of a company which is a margin client of Kaisa Financial Group Company Limited ("KFGCL") and/or (ii) belong to the same group of companies as another company which is a margin client of KFGCL?
<input type="checkbox"/> 否 No	如是, If Yes, 有关公司名称 name of relevant company(ies): _____

**11. 税务居民资料 Tax Residency****重要提示 Important Notes**

- 这是由帐户持有人向申报财务机构提供的自我证明表格，以作自动交换财务帐户资料用途。申报财务机构可把收集所得的资料交给税务局，税务局会将资料转交到另一税务管辖区的税务当局。
This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- 如帐户持有人的税务居民身分有所改变，应尽快将所有变更通知申报财务机构。
An account holder should report all changes in his/her tax residency status to the reporting financial institution.
- 除不适用或特别注明外，必须填写这份表格所有部分。如这份表格上的空位不够应用，可另纸填写。在栏/部标有星号(*)的项目为申报财务机构须向税务局申报的资料。
All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the Inland Revenue Department.

第 1 部 实体帐户持有人的身分识别资料 Part 1 Identification of Entity Account Holder

(对于联名帐户或多人联名帐户，每名实体帐户持有人须分别填写一份表格)

(For joint or multiple account holders, complete a separate form for each entity account holder.)

*实体或分支机构的法定名称 *Legal Name of Entity or Branch	
实体成立为法团或设立所在的税务管辖区 Jurisdiction of Incorporation or Organization	
香港商业登记号码 Hong Kong Business Registration Number	
现时营业地址 Current Business Address	_____ _____ *国家 *Country
通讯地址 Mailing Address (如通讯地址与现时营业地址不同，填写此栏 Complete if different to the current business address)	_____ _____ *国家 *Country

第 2 部 实体类别 Part 2 Entity Type

在其中一个适当的方格内加上别号，并提供有关资料

Tick one of the appropriate boxes and provide the relevant information.

财务机构 Financial Institution	<input type="checkbox"/> 托管机构、存款机构或指明保险公司 Custodial Institution, Depository Institution or Specified Insurance Company <input type="checkbox"/> 投资实体，但不包括由另一财务机构管理(例如：拥有酌情权管理投资实体的资产)并位于非参与税务管辖区的投资实体 Investment Entity, except an investment entity that is managed by another financial institution (e.g. with discretion to manage the entity's assets) and located in a non-participating jurisdiction
主动非财务实体 Active NFE	<input type="checkbox"/> 该非财务实体的股票经常在 _____ (一个具规模证券市场) 进行买卖 NFE the stock of which is regularly traded on _____, which is an established securities market <input type="checkbox"/> _____ 的有关连实体，该有关连实体的股票经常在 _____ (一个具规模证券市场) 进行买卖 Related entity of _____, the stock of which is regularly traded on _____, which is an established securities market <input type="checkbox"/> 政府实体、国际组织、中央银行或由前述的实体全权拥有的其他实体 NFE is a governmental entity, an international organization, a central bank, or an entity wholly owned by one or more of the foregoing entities <input type="checkbox"/> 除上述以外的主动非财务实体(请说明 _____) Active NFE other than the above (Please specify _____)
被动非财务实体 Passive NFE	<input type="checkbox"/> 位于非参与税务管辖区并由另一财务机构管理的投资实体 Investment entity that is managed by another financial institution and located in a non-participating jurisdiction <input type="checkbox"/> 不属主动非财务实体的非财务实体 NFE that is not an active NFE

**第 3 部 控权人(如实体帐户持有人是被动非财务实体, 填写此部)****Part 3 Controlling Persons (Complete this part if the entity account holder is a passive NFE)**

就帐户持有人, 填写所有控权人的姓名在列表内。就法人实体, 如行使控制权的并非自然人, 控权人会是该法人实体的高级管理人员。每名控权人须分别填写一份自我证明表格—控权人。

Indicate the name of all controlling person(s) of the account holder in the table below. If no natural person exercises control over an entity which is a legal person, the controlling person will be the individual holding the position of senior managing official.

Complete Self-Certification Form – Controlling Person for each controlling person.

(1)	(5)
(2)	(6)
(3)	(7)
(4)	(8)

第 4 部 居留司法管辖区及税务编号或具有等同功能的识别编号 (以下简称「税务编号」)**Part 4 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)**

提供以下资料, 列明 Complete the following table indicating:

- (a) 帐户持有人的居留司法管辖区, 亦即帐户持有人的税务管辖区(香港包括在内)及
the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and
- (b) 该居留司法管辖区发给帐户持有人的税务编号。列出所有居留司法管辖区。
the account holder’s TIN for each jurisdiction indicated. Indicate all jurisdictions of residence.

如帐户持有人是香港税务居民, 税务编号是其香港身份证号码。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

如没有提供税务编号, 必须填写合适的理由:

If a TIN is unavailable, provide the appropriate reason A, B or C:

理由 A - 帐户持有人的居留司法管辖区并没有向其居民发出税务编号。

Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由 B - 帐户持有人不能取得税务编号。如选取这一理由, 解释帐户持有人不能取得税务编号的原因。

Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

理由 C - 帐户持有人毋须提供税务编号。居留司法管辖区的主管机关不需要帐户持有人披露税务编号。

Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

居留司法管辖区 Jurisdiction of Residence	*税务编号 TIN	# 如没有提供税务编号, 填写理由 A、B 或 C # Enter Reason A, B or C if no TIN is available	如选取理由 B, 解释帐户持有人 不能取得税务编号的原因 Explain why the account holder is unable to obtain a TIN if you have selected Reason B

第 5 部 声明及签署 Part 5 Declarations and Signature

本人知悉及同意, 财务机构可根据《税务条例》(第 112 章)有关交换财务帐户资料的法律条文, (a)收集本表格所载资料并可备存作自动交换财务帐户资料用途及(b)把该等资料和关于帐户持有人及任何须申报帐户的资料向香港特别行政区政府税务局申报, 从而把资料转交到帐户持有人的居留司法管辖区的税务当局。

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人证明, 就与本表格所有相关的帐户, 本人获帐户持有人授权签署本表格。

I certify that I am authorized to sign for the account holder of all the account(s) to which this form relates

本人承诺, 如情况有所改变, 以致影响本表格所述的个人的税务居民身分, 或引致本表格所载的资料不正确, 本人会通知佳兆业金融集团有限公司并会在情况发生改变后 30 日内, 向佳兆业金融集团有限公司提交一份已适当更新的自我证明表格。

I undertake to advise Kaisa Financial Group Company Limited of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide Kaisa Financial Group Company Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

本人声明就本人所知所信, 本表格内所填报的所有资料和声明均属真实、正确和完备。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

帐户持有人签署 Account Holder's Signature	身分 Capacity : _____ (例如: 公司的董事或高级人员、合伙的合伙人、信托的受托人等 e.g. director or officer of a company, partner of a partnership, trustee of a trust etc.)
日期 Date:	
姓名 Name:	

*警告: 根据《税务条例》第 80(2E)条, 如任何人在作出自我证明时, 在明知一项陈述在要项上属具误导性、虚假或不正确, 或罔顾一项陈述是否在要项上属具误导性、虚假或不正确下, 作出该项陈述, 即属犯罪。一经定罪, 可处第 3 级 (即\$10,000) 罚款。

*WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).



12. 董事会决议 Board Resolutions

(客户名称) (下称「本公司」) 于 (日期)
在 (地址) 举行的公司董事会 (下称「董事会」) 所通过决议之核证真实摘要。
(Name of Client) (the "Company") certified true
extracts of resolutions passed by the board (the "Board") of directors (the "Director") of the Company on (Date)
held at (Address).

于佳兆業金融集團有限公司开立帐户
Opening Account(s) with Kaisa Financial Group Company Limited

本人 / 吾等确认, 下述为本公司在正式召集的董事会上, 有效地通过并接纳的决议的真实副本, 而该董事会议有足够法定人数出席; 按照本公司章程规定并加载本公司之会议记录册内, 而该决议案未有被修订, 并且正全面生效及有效。
I/We hereby certify that the following is a true and correct copy of the resolutions validly passed and adopted by the Board at a duly convened meeting of the Company at which a quorum was present and acted throughout in accordance with the Articles of the Company and have been duly recorded in the Minutes Book of the Company without amendment and that the same are now in full force and effect.

董事会决议案通过:
It was resolved:

- 1. (申请开立帐户) 于佳兆業金融集團有限公司开立以下的证券帐户("帐户")是对本公司有利的, 并批准、确认及追认该等证券帐户开立的申请:
2. (申请文件) 批准、确认及追认关于帐户的客户协议、公司帐户开立表格、客户资料表及其他有关或附带的补充文件 (统称为"该协议") 所载的内容和条款及条件
3. (文件签署及交付) 授权任何 位(填上数字)董事 [或 (填上获授权代表姓名)*] 签署及交付该协议及其他与开立帐户相关的文件
4. (获授权签署人) 批准、确认及追认委任附件 A 中所列的人士, 作为可代表本公司操作帐户的获授权签署人
5. (帐户交易代表) 批准、确认及追认委任附件 B 中所列的人士, 作为可代表本公司为帐户交易发出指令及/或交易指示的帐户交易代表
6. 批准、确认及追认代表本公司就申请开立帐户所采取的、且并无与上述决议案不符的所有之前的行动。

由以下人士签署 Signed by

(如只有一位董事, 则该名董事)
(IF there is only one director, the sole director)

(如有多于一名董事, 则其中 2 位董事)
(If there are more than one director, at least two directors)

董事姓名 Name of Director

董事姓名 Name of Director

日期 Date:



附件 A Annex A

获授权签署人 Authorized Signatories

证券现金帐户 Securities Cash Account

(1) 签名样式 Specimen signature: (2) 签名样式 Specimen signature: (3) 签名样式 Specimen signature:

姓名 Name:

姓名 Name:

姓名 Name:

证券保证金帐户 Securities Margin Account

(1) 签名样式 Specimen signature: (2) 签名样式 Specimen signature: (3) 签名样式 Specimen signature:

姓名 Name:

姓名 Name:

姓名 Name:

附件 B Annex B

帐户交易代表 Trading Representatives

证券现金帐户 Securities Cash Account

(1) 签名样式 Specimen signature: (2) 签名样式 Specimen signature: (3) 签名样式 Specimen signature:

姓名 Name:

姓名 Name:

姓名 Name:

证券保证金帐户 Securities Margin Account

(1) 签名样式 Specimen signature: (2) 签名样式 Specimen signature: (3) 签名样式 Specimen signature:

姓名 Name:

姓名 Name:

姓名 Name:

**13. 声明及确认 Declarations & Acknowledgements**

本人/我们声明、承认、确认并同意：

I/We declare, acknowledge, confirm and agree that:

1. 本开户申请表所载的资料和陈述乃真实、完整及正确，除非佳兆业金融集团有限公司接获我们发出关于任何变更的书面通知，否则佳兆业金融集团有限公司有权就一切目的全面信赖该等资料及陈述。佳兆业金融集团有限公司获授权随时联络任何人士，包括银行或任何信用调查机构，以查核此公司帐户开户表格所提供之资料。
The information and representations contained in this Corporate Account Opening Form are true, complete and correct, and that Kaisa Financial Group Company Limited is entitled to rely fully on such information and representations for all purposes, unless and until Kaisa Financial Group Company Limited receives notice in writing from us of any change. Kaisa Financial Group Company Limited is authorized at any time to contact anyone, including banks, or any credit agency, for purposes of verifying the information provided on this Corporate Account Opening Form.
2. 佳兆业金融集团有限公司提供的帐户及投资管理服务，均须受本公司帐户开户表格并受佳兆业金融集团有限公司不时修订的客户协议的条款及细则（合称「协议书」）所约束。本人/我们确认本人/我们已阅读和明白协议书，并同意受协议书目前所列的条款及条件所约束。除非上下文另有规定，否则客户协议所使用和定义的词语在用于本开户申请表时具有相同意义。
The Account(s) and the provision of investment advisory service by Kaisa Financial Group Company Limited are subject to this Corporate Account Opening Form and the Terms and Conditions of the Client Agreement as amended by Kaisa Financial Group Company Limited from time to time (together the "Agreement"). I/We confirm that I/we have read and understood the Agreement and agree to be bound by, the terms and conditions as currently set forth in the Agreement. Unless the context requires otherwise, terms and expressions used and defined in the Client Agreement shall have the same meaning when used herein.
3. 本人/我们在协议书的期限内不会对帐户的整体或任何部分设置任何押记、质押或产权负担。
I/We will not create any charge, pledge or encumbrance over the whole or any part of the Account(s) during the term of the Agreement.
4. 本人/我们已收到一份按本人/我们选择的语言（英文或中文）的客户协议及风险披露声明，且本人/我们确认已阅读及完全明白客户协议以及风险披露声明，并获邀请提出问题及咨询独立意见（如本人/我们有此意愿）。
I/We have received a copy of the Client Agreement and the Risk Disclosure Statements in the language of my/our choice (English or Chinese), and I/we confirm that I/We have read and fully understood the Client Agreement and the Risk Disclosure Statements and have been invited to ask questions and take independent advice if I/we wish.
5. 本人/我们已仔细考虑风险披露声明，而且了解进行投资产品买卖所涉及之高风险。本人/我们已考虑到本人/我们的财务状况和投资目标，并确认本人/我们之财政能承担该交易带来之风险和承受其带来之任何损失，亦自愿确认投资产品买卖是一项对本人/我们合适的买卖方式。
I/We have carefully considered the Risk Disclosure Statements and recognize that trading in investment products involves a high degree of risk. I have considered my/our financial position and investment objectives, I/we confirm that I/we am/are financially able to assume such risks and to sustain any losses resulting from such trading and voluntarily confirm that trading in investment products is a suitable trading vehicle for me/ us.
6. 倘若帐户为联名帐户，我们声明和确认每名签署这份公司帐户开户表格的客户（各称为「联名拥有人」）可就帐户作出指示并与佳兆业金融集团有限公司进行交易，无须通知其他联名拥有人，犹如彼为帐户的唯一拥有人。
Where the Account is a joint account, we declare and confirm that each Person signing this Corporate Account Opening Form (each a "joint owner") may give Instructions, in respect of the Account and to deal with Kaisa Financial Group Company Limited as if each Client alone was the sole owner of the Account without notice to the other joint owner(s).
7. 本人/我们知悉及确认本人/我们必须(i) 遵守因本人/我们签订协议书及根据该协议书进行的任何交易而可能产生的任何税务后果；及(ii) 遵从不时有效的所有适用法律和规例，包括根据本人/我们居住的或户籍所在的或身为其公民的司法管辖区的法律之下与购买、持有及沽售证券或有关合约的资产相关的任何法律规定及外汇限制或管制规定。
I/We acknowledge and confirm that I/we must (i) observe any possible tax consequences arising from my/our entering into the Agreement and any transactions entered pursuant thereto; and (ii) comply with all applicable laws and regulations in force from time to time including any legal requirements and foreign exchange restrictions or exchange control requirements which are relevant to the purchase, holding or disposal of securities or assets underlying the contract under the laws of the jurisdiction in which I/we reside, am/are domiciled or am/are a citizen.
8. 本人/我们确认佳兆业金融集团有限公司并不提供投资、税务或法律意见或建议。
I/ We acknowledge that Kaisa Financial Group Company Limited does not provide investment, tax or legal advice or recommendations.
9. **常设授权 Standing Authority** ***(常设授权只为申请保证金帐户用 For clients applying for Securities (Margin) Account only)**
 - i. 本人/我们同意并授权佳兆业金融集团有限公司及其有联系实体(按《证券及期货条例》附表1 第1 部之有关定义)可于佳兆业金融集团有限公司接受本人/我们签署本开户书当天开始直至及包括随后十一个月份的最后一天的期间内，以下列一种或多于一种方式处理不时由佳兆业金融集团有限公司或其任何有联系实体代本人/我们购入或持有的证券及证券抵押品，毋须进一步通知本人/我们或得到本人/我们的同意：
I/We hereby authorize Kaisa Financial Group Company Limited and each associated entity (as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance) of Kaisa Financial Group Company Limited for a period commencing from the date of me/us signing this Account Opening Form up to and including the last day of the eleventh (11th) month next after the date hereof to deal with my/our securities and securities collateral from time to time purchased or held by Kaisa Financial Group Company Limited or any associated entity on my/our behalf in one or more of the following ways without further notice to or consent from me/us:
 - 依据证券借贷协议运用任何有关证券或证券抵押品；
to apply any of my/our securities or securities collateral to a securities borrowing and lending agreement;
 - 将任何有关证券抵押品存放于认可财务机构，作为提供予佳兆业金融集团有限公司的财务通融抵押品；
to deposit any of the securities collateral with an authorized financial institution as collateral for financial accommodation provided to Kaisa Financial Group Company Limited;
 - 将任何有关证券抵押品存放于获香港证券及期货事务监察委员会认可的结算所或另一获发牌或获注册进行证券交易的中介人，作为解除佳兆业金融集团有限公司在交收上的义务和清偿佳兆业金融集团有限公司在交收上的法律责任的抵押品。



to deposit any of the securities collateral with any clearing house recognized under the Securities and Futures Ordinance or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of Kaisa Financial Group Company Limited's settlement obligations and liabilities.

- ii. 本人/我们获悉及确认佳兆业金融集团有限公司将客户的证券及抵押品转按的做法。
I/We hereby acknowledge, and confirm having been informed by Kaisa Financial Group Company Limited, that Kaisa Financial Group Company Limited has the practice of repledging clients' securities and securities collateral.
- iii. 本常设授权并不涵盖佳兆业金融集团有限公司借入、贷出或存放本人/我们任何证券而须支付或收取的任何代价。任何代价均须由本人/我们与佳兆业金融集团有限公司另行签约订明。
This standing authority does not cover any consideration, which must be set in a separate agreement between me/us and Kaisa Financial Group Company Limited.
- iv. 本人/我们的证券可能受制于第三者之权利，佳兆业金融集团有限公司必须于抵偿该等权利后，方将本人/我们的证券交还本人/我们。
I/We understand that a third party may have rights to my/our securities, which Kaisa Financial Group Company Limited must satisfy before my/our securities can be returned to me/us.
- v. 本常设授权以本开户书签署日期起计十二个月内有效，并可于下列情况予以续期，每次续期可有效十二个月：本人/我们以书面同意续期；若佳兆业金融集团有限公司于该授权有效届满前不少于十四日向本人/我们发出书面通知，而本人/我们于该授权有效期届满前未有提出反对续期。本人/我们有权随时以三十天书面通知佳兆业金融集团有限公司；撤销此常设授权书。
This standing authority is valid for a period of up to 12 months from the date of me/us signing this Account Opening Form and may be renewed for subsequent periods of not exceeding 12 months if I/we am/are given a written notice from Kaisa Financial Group Company Limited at least 14 days prior to the expiry of such authority and I/we do not object to the renewal of such authority before its expiry. This standing authority may be revoked at any time on giving 30 days' prior written notice to Kaisa Financial Group Company Limited.
- vi. 本人/我们明白现时无任何法例规定本人/我们必须签署此授权书；然而，佳兆业金融集团有限公司可能需要此授权书，以便例如向本人/我们提供保证金贷款，或获许将有关本人/我们的证券或证券抵押品供出于第三方或作为抵押品存放于第三方，该第三方将对本人/我们的证券或证券抵押品具有留置权或作出押记。虽然佳兆业金融集团有限公司根据本人/我们的授权而借出或存放属于本人/我们的证券或抵押品须对我本人/我们负责，但佳兆业金融集团有限公司的失责行为可能导致本人/我们损失本人/我们的证券或抵押品。本人/我们同意并确认佳兆业金融集团有限公司及其有联系实体有权收取及保留任何由于处置本人/我们的证券或证券抵押品所产生的任何报酬、收入、回佣或其他利益而无须向本人/我们负责。
I/We understand that I/we am/are not required by any law to sign this authority but it may be required by Kaisa Financial Group Company Limited, for example, to facilitate margin lending to me/us or to allow my/our securities or securities collateral to be lent to or deposited as collateral with third parties. I/We also understand that if I/we sign this authority and my/our securities or securities collateral are lent to or deposited with third parties, Kaisa Financial Group Company Limited shall remain responsible to me/us for securities or securities collateral lent or deposited under my/our authority, a default by Kaisa Financial Group Company Limited could result in the loss of my/our securities or securities collateral. I/We agree and confirm that Kaisa Financial Group Company Limited and its associated entities shall be entitled to receive and retain for their own benefit and not be accountable to me/us for any remuneration, income, rebates or other benefits resulting from any dealing with my/our securities or securities collateral.

本人/我们同意本人/我们的个人资料被用作贵公司之通告中所指的服务，及/或转移至佳兆业金融集团有限公司，及/或它的任何集团公司用于享受集团关联公司的客户礼遇、尽职调查或资料统计。
I/We agree to the use of my/our personal data for the service specified in your company's notice and/or transfer of my/our personal data to Kaisa Financial Group Company Limited and their group companies for acquiring the related customer rewards, due diligence or data analysis.

14. 客户签署 Client Signature

客户签署 Signed by Client	公司印章 Company Chop
获授权代表名称 Name of Authorized Person	
职位 Position	日期 Date:

见证人
in the presence of:

见证人签署 Signature of Witness

姓名 Name: _____ 日期 Date: _____

所属专业及职衔 Profession /Title: _____

15. 职员声明 Declaration by Staff

本人，以持牌代表人身份，确认本人已按照上述客户所选择的语言提供风险披露声明之副本及邀请客户阅读该风险披露声明、提出问题及征求独立意见(如客户有此意愿)。
I, a licensed person, declare that I have provided the above client with a copy of the Risk Disclosure Statement in a language of the client's choice and invited the client to read the Risk Disclosure Statement, ask questions and take independent advice if the client so wishes.
#以上客户签署乃于本人面前签立。The above client signature(s) was/were made in my presence.

职员签署 Signed by Staff	日期 Date: _____
职员姓名 Name of Staff	中央编号 CE Number: _____



公司帐户签名样式 Corporate Account Specimen Signature

客户名称 Client Name	帐户号码 Account Number
获授权签名 Authorized Signature (1)	获授权签名 Authorized Signature (2)
获授权人名称 Name of Authorized Person	获授权人名称 Name of Authorized Person
<input type="checkbox"/> 所有帐户书面指示须由两位获授权人同时签署 All written instruction of the account should be jointly signed by both authorized persons	
备注 Remarks	日期 Date:

本公司内部使用 For Office Use Only

核对清单 Check List	AE Name:	AE Code:		
<input type="checkbox"/> 客户的公司注册证书及商业登记证书的核证副本（或其他适当的注册证据或/及注册费的凭证） <input type="checkbox"/> 客户的组织章程大纲及章程细则 <input type="checkbox"/> 客户的董事名册核证副本（提交最近存档于公司注册处的相关表格） <input type="checkbox"/> 客户的董事会决议核证摘录 <input type="checkbox"/> 客户所有授权代表及董事的香港身份证或护照核证副本 <input type="checkbox"/> 公司的通讯地址证明 <input type="checkbox"/> 最近之财政报告 <input type="checkbox"/> 客户的董事之担保书 <input type="checkbox"/> 所有持客户百分之五或以上权益的股东及（如非同属一人）客户的最终受益人之香港身份证、护照或其他身份证明文件核证副本 <input type="checkbox"/> DOW JONES Checker: _____ Date: _____	佣金收费			
	港股 HK\$	沪深 A 股 CNY\$	环球证券 US\$	
	普通 %; Min \$	普通 %; Min \$	普通 %; Min \$	
	网上 %; Min \$	网上 %; Min \$	网上 %; Min \$	
	Rebate To:		Rebate %	
	Trading Limit:		Interest Rate: P + %	
	Loan Limit:			

Settlement Instruction		
<input type="checkbox"/> Mail 邮寄	<input type="checkbox"/> 电邮 Email	<input type="checkbox"/> 传真 Fax
客户交收方法	<input type="checkbox"/> 收取支票	<input type="checkbox"/> 续存户口

开户审批 Approval of Account Opening		
Input by:	Checked by:	Approved by:
Name:	Name:	Name:
Division:	Division:	Division:
Date:	Date:	Date: