





4. 工作详情 Employment Details			
雇主名称(若自雇, 请填写公司名称) Name of Employer (or if self-employed, name of Company)		雇主名称(若自雇, 请填写公司名称) Name of Employer (or if self-employed, name of Company)	
公司地址 Business Address		公司地址 Business Address	
业务性质 Nature of Business		业务性质 Nature of Business	
职位 Position	工作年期 Years in Occupation	职位 Position	工作年期 Years in Occupation
5. 财务状况 Financial Background			
收入来源 Source of Income <input type="checkbox"/> 没有 Nil <input type="checkbox"/> 薪金 Salary <input type="checkbox"/> 佣金 Commission <input type="checkbox"/> 租金 Rental <input type="checkbox"/> 股息/利息 Dividend/Interest <input type="checkbox"/> 业务溢利 Business Profit <input type="checkbox"/> 其他 Others: _____	每年收入 Annual Income <input type="checkbox"/> <HK\$120,000 <input type="checkbox"/> HK\$120,001 – HK\$360,000 <input type="checkbox"/> HK\$360,001 – HK\$600,000 <input type="checkbox"/> HK\$600,001 – HK\$1,200,000 <input type="checkbox"/> >HK\$1,200,000	资产类别 Asset Type <input type="checkbox"/> 房产 Property <input type="checkbox"/> 存款 Deposits <input type="checkbox"/> 证券 Listed Securities <input type="checkbox"/> 债券/基金 Bonds/Funds <input type="checkbox"/> 结构性产品 Structured Products <input type="checkbox"/> 其他 Others: _____	资产净值 Net Asset Worth <input type="checkbox"/> <HK\$500,000 <input type="checkbox"/> HK\$500,000 – HK\$1M <input type="checkbox"/> HK\$1M – HK\$3M <input type="checkbox"/> HK\$3M – HK\$5M <input type="checkbox"/> HK\$5M – HK\$10M <input type="checkbox"/> HK\$10M – HK\$50M <input type="checkbox"/> >HK\$50M
6. 客户投资经验及目标 Client Investment Experience and Objective			
投资经验 Investment Experience <input type="checkbox"/> 没有 Nil <input type="checkbox"/> 少于1年 < 1 year <input type="checkbox"/> 1 - 3年 years <input type="checkbox"/> 3 - 5年 years <input type="checkbox"/> 5 - 10年 years <input type="checkbox"/> 10年以上 >10 years	曾投资/买卖的产品 Investment Products <input type="checkbox"/> 没有 Nil <input type="checkbox"/> 股票 Stocks <input type="checkbox"/> 衍生权证/牛熊证 Warrants/CBBC <input type="checkbox"/> 期货/期权 Futures/Options <input type="checkbox"/> 外汇/黄金 Forex/Bullion <input type="checkbox"/> 债券/基金 Bonds/Funds <input type="checkbox"/> 其他 Others: _____	投资目标 Investment Objectives <input type="checkbox"/> 资本增值 Capital Appreciation <input type="checkbox"/> 股息回报 Dividend Yield <input type="checkbox"/> 对冲 Hedging <input type="checkbox"/> 投机 Speculation <input type="checkbox"/> 其他 Others: _____	估计投资金额 Estimated Investment Amount <input type="checkbox"/> <HK\$200,000 <input type="checkbox"/> HK\$200,000 – HK\$1M <input type="checkbox"/> HK\$1M – HK\$3M <input type="checkbox"/> HK\$3M – HK\$10M <input type="checkbox"/> >HK\$10M
本人对衍生产品的认识如下 My knowledge of derivative products are as follows: <input type="checkbox"/> 本人并未有衍生产品之认识 I do not have any knowledge of derivative product <input type="checkbox"/> 本人已接受有关介绍一般衍生产品之性质及风险的培训或课程(例如学术机构或金融机构所提供之课程) I underwent training or attended courses on derivative products that provide general knowledge of the nature and risks of derivatives (e.g. courses offered by academic or financial institutions) <input type="checkbox"/> 本人拥有与衍生产品有关之工作经验 I have work experience related to derivative products <input type="checkbox"/> 本人有相关之交易经验, 即本人于过去三年曾执行过五次或以上有关衍生产品之交易 I have relevant trading experience i.e. I have executed five or more transactions in derivative products within the past three years			
7. 身份声明 Identity Declaration			
阁下是否将会从交易取得商业或经济利益及/或承担其商业或经济风险之帐户最终实益拥有人? Are you the ultimate beneficial owner(s) of the Account(s) who stands to gain the commercial or economic benefit of the transaction(s) and/or bear its commercial or economic risk? <input type="checkbox"/> 是, Yes <input type="checkbox"/> 否, No 最终实益拥有人全名 the full name of the beneficial owner(s) is _____ 身份证/护照号码及签发国家 ID/Passport No. and country of issue _____ 地址 Address: _____			
阁下是否为《证券及期货条例》之下持牌/注册的中介人的董事、雇员或代表? Are you a director, an employee or a representative of an intermediary licensed/registered under the Securities and Futures Ordinance? <input type="checkbox"/> 否, No <input type="checkbox"/> 是*, Yes* 请列出中介人名称 Please specify the name of the intermediary _____ *请附上阁下雇主之开户同意书 *Please attach a consent letter of account opening from your employer			
阁下是否与佳兆业金融集团有限公司之任何董事、职员或代表有亲属关系? Are you a relative of any director, employee or representative of Kaisa Financial Group Company Limited? <input type="checkbox"/> 否, No <input type="checkbox"/> 是, Yes 请述明姓名及关系 Please specify Name & Relationship _____			
您或您的直系亲属是否政治人物或与其有关连之人士、高级政府官员或国有企业高级行政人员? Are you or your immediate family members politically exposed person ("PEP"), a person connected with PEP, senior government official or senior executive of a state-owned corporation? <input type="checkbox"/> 否, No <input type="checkbox"/> 是, Yes 请述明姓名及关系 Please specify Name & Relationship _____			
8. 关连保证金帐户资料 Related Margin Account(s) Information		*(保证金帐户适用 For Margin Accounts Only)	
阁下的配偶是否佳兆业金融集团有限公司的保证金客户? Is your spouse a margin client of Kaisa Financial Group Company Limited ("KFGCL")? <input type="checkbox"/> 否, No <input type="checkbox"/> 是, Yes 其帐户号码为 whose Account No.: _____ 帐户名称 Account Name: _____			
阁下是否单独或与阁下的配偶共同控制任何佳兆业金融集团有限公司保证金帐户 35%或以上投票权? Are you, either alone or with your spouse, in control of 35% or more of the voting rights of any corporate margin account of KFGCL? <input type="checkbox"/> 否, No <input type="checkbox"/> 是, Yes 其帐户号码为 whose Account No.: _____ 帐户名称 Account Name: _____			

**9. 税务居民资料 Tax Residency****重要提示 Important Notes**

- 这是由帐户持有人向申报财务机构提供的自我证明表格，以作自动交换财务帐户资料用途。申报财务机构可把收集所得的资料交给税务局，税务局会将资料转交到另一税务管辖区的税务当局。  
This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- 如帐户持有人的税务居民身分有所改变，应尽快将所有变更通知申报财务机构。  
An account holder should report all changes in his/her tax residency status to the reporting financial institution.
- 除不适用或特别注明外，必须填写这份表格所有部分。如这份表格上的空位不够应用，可另纸填写。在栏/部标有星号(\*)的项目为申报财务机构须向税务局申报的资料。  
All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (\*) are required to be reported by the reporting financial institution to the Inland Revenue Department.

**居留司法管辖区及税务编号或具有等同功能的识别编号 (以下简称「税务编号」)****Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")**

提供以下资料，列明 Complete the following table indicating:

- (a) 帐户持有人的居留司法管辖区，亦即帐户持有人的税务管辖区(香港包括在内)及  
the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and
- (b) 该居留司法管辖区发给帐户持有人的税务编号。列出所有居留司法管辖区。  
the account holder's TIN for each jurisdiction indicated. Indicate all jurisdictions of residence.

如帐户持有人是香港税务居民，税务编号是其香港身份证号码。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

如没有提供税务编号，必须填写合适的理由:

If a TIN is unavailable, provide the appropriate reason A, B or C:

- # 理由 A - 帐户持有人的居留司法管辖区并没有向其居民发出税务编号。  
Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
- # 理由 B - 帐户持有人不能取得税务编号。如选取这一理由，解释帐户持有人不能取得税务编号的原因。  
Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
- # 理由 C - 帐户持有人毋须提供税务编号。居留司法管辖区的主管机关不需要帐户持有人披露税务编号。  
Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

居留司法管辖区 Jurisdiction of Residence	*税务编号 TIN	# 如没有提供税务编号， 填写理由 A、B 或 C # Enter Reason A, B or C if no TIN is available	如选取理由 B，解释帐户持有人 不能取得税务编号的原因 Explain why the account holder is unable to obtain a TIN if you have selected Reason B

**声明及签署 Declarations and Signature**

本人知悉及同意，财务机构可根据《税务条例》(第 112 章)有关交换财务帐户资料的法律条文，(a)收集本表格所载资料并可备存作自动交换财务帐户资料用途及(b)把该等资料和关于帐户持有人及任何须申报帐户的资料向香港特别行政区政府税务局申报，从而把资料转交到帐户持有人的居留司法管辖区的税务当局。

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

#本人证明，就与本表格所有相关的帐户，本人是帐户持有人/本人获帐户持有人授权签署本表格。

# I certify that I am the account holder / I am authorized to sign for the account holder of all the account(s) to which this form relates.

本人承诺，如情况有所改变，以致影响本表格所述的个人的税务居民身分，或引致本表格所载的资料不正确，本人会通知佳兆业金融集团有限公司并会在情况发生改变后 30 日内，向佳兆业金融集团有限公司提交一份已适当更新的自我证明表格。

I undertake to advise Kaisa Financial Group Company Limited of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide Kaisa Financial Group Company Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

本人声明就本人所知所信，本表格内所填报的所有资料和声明均属真实、正确和完备。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

帐户持有人签署 Account Holder's Signature

日期 Date:

X

\*警告: 根据《税务条例》第 80(2E)条，如任何人在作出自我证明时，在明知一项陈述在要项上属具误导性、虚假或不正确，或罔顾一项陈述是否在要项上属具误导性、虚假或不正确下，作出该项陈述，即属犯罪。一经定罪，可处第 3 级(即\$10,000)罚款。

\*WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).



## 10. 声明及确认 Declarations & Acknowledgements

本人/我们声明、承认、确认并同意:

I/We declare, acknowledge, confirm and agree that:

1. 本开户申请表所载的资料和陈述乃真实、完整及正确, 除非佳兆业金融集团有限公司接获我们发出关于任何变更的书面通知, 否则佳兆业金融集团有限公司有权就一切目的全面信赖该等资料及陈述。佳兆业金融集团有限公司获授权随时联络任何人士, 包括银行或任何信用调查机构, 以查核此开户申请表所提供之资料。  
The information and representations contained in this Account Opening Form are true, complete and correct, and that Kaisa Financial Group Company Limited is entitled to rely fully on such information and representations for all purposes, unless and until Kaisa Financial Group Company Limited receives notice in writing from us of any change. Kaisa Financial Group Company Limited is authorized at any time to contact anyone, including banks, or any credit agency, for purposes of verifying the information provided on this Account Opening Form.
2. 佳兆业金融集团有限公司提供的帐户及投资管理服务, 均须受本开户申请表并受佳兆业金融集团有限公司不时修订的客户协议的条款及细则(合称「协议书」)所约束。本人/我们确认本人/我们已阅读和明白协议书, 并同意受协议书目前所列的条款及条件所约束。除非上下文另有规定, 否则客户协议所使用和定义的词语在用于本开户申请表时具有相同意义。  
The Account(s) and the provision of investment advisory service by Kaisa Financial Group Company Limited are subject to this Account Opening Form and the Terms and Conditions of the Client Agreement as amended by Kaisa Financial Group Company Limited from time to time (together the "Agreement"). I/We confirm that I/we have read and understood the Agreement and agree to be bound by, the terms and conditions as currently set forth in the Agreement. Unless the context requires otherwise, terms and expressions used and defined in the Client Agreement shall have the same meaning when used herein.
3. 本人/我们在协议书的期限内不会对帐户的整体或任何部分设置任何押记、质押或产权负担。  
I/We will not create any charge, pledge or encumbrance over the whole or any part of the Account(s) during the term of the Agreement.
4. 本人/我们已收到一份按本人/我们选择的语言(英文或中文)的客户协议及风险披露声明, 且本人/我们确认已阅读及完全明白客户协议以及风险披露声明, 并获邀请提出问题及咨询独立意见(如本人/我们有此意愿)。  
I/We have received a copy of the Client Agreement and the Risk Disclosure Statements in the language of my/our choice (English or Chinese), and I/we confirm that I/we have read and fully understood the Client Agreement and the Risk Disclosure Statements and have been invited to ask questions and take independent advice if I/we wish.
5. 本人/我们已仔细考虑风险披露声明, 而且了解进行投资产品买卖所涉及之高风险。本人/我们已考虑到本人/我们的财务状况和投资目标, 并确认本人/我们之财政能承担该交易带来之风险和承受其带来之任何损失, 亦自愿确认投资产品买卖是一项对本人/我们合适的买卖方式。  
I/We have carefully considered the Risk Disclosure Statements and recognize that trading in investment products involves a high degree of risk. I have considered my/our financial position and investment objectives, I/we confirm that I/we am/are financially able to assume such risks and to sustain any losses resulting from such trading and voluntarily confirm that trading in investment products is a suitable trading vehicle for me/ us.
6. 倘若帐户为联名帐户, 我们声明和确认每名签署这份开户申请表的客户(各称为「联名拥有人」)可就帐户作出指示并与佳兆业金融集团有限公司进行交易, 无须通知其他联名拥有人, 犹如彼为帐户的唯一拥有人。  
Where the Account is a joint account, we declare and confirm that each Person signing this Account Opening Form (each a "joint owner") may give Instructions, in respect of the Account and to deal with Kaisa Financial Group Company Limited as if each Client alone was the sole owner of the Account without notice to the other joint owner(s).
7. 本人/我们知悉及确认本人/我们必须(i) 遵守因本人/我们签订协议书及根据该协议书进行的任何交易而可能产生的任何税务后果; 及(ii) 遵从不时有效的所有适用法律和规例, 包括根据本人/我们居住的或户籍所在的或身为其公民的司法管辖区的法律之下与购买、持有及沽售证券或有关合约的资产相关的任何法律规定及外汇限制或管制规定。  
I/We acknowledge and confirm that I/we must (i) observe any possible tax consequences arising from my/our entering into the Agreement and any transactions entered pursuant thereto; and (ii) comply with all applicable laws and regulations in force from time to time including any legal requirements and foreign exchange restrictions or exchange control requirements which are relevant to the purchase, holding or disposal of securities or assets underlying the contract under the laws of the jurisdiction in which I/we reside, am/are domiciled or am/are a citizen.
8. 本人/我们确认佳兆业金融集团有限公司并不提供投资、税务或法律意见或建议。  
I/We acknowledge that Kaisa Financial Group Company Limited does not provide investment, tax or legal advice or recommendations.

### 9. 常设授权 Standing Authority \*(常设授权只为申请保证金帐户用 For clients applying for Securities (Margin) Account only)

- i. 本人/我们同意并授权佳兆业金融集团有限公司及其有联系实体(按《证券及期货条例》附表1第1部之有关定义)可于佳兆业金融集团有限公司接受本人/我签署本开户书当天开始直至及包括随后十一个月份的最后一天的期间内, 以下列一种或多种方式处理不时由佳兆业金融集团有限公司或其任何有联系实体代本人/我们购入或持有的证券及证券抵押品, 毋须进一步通知本人/我们或得到本人/我们的同意:  
I/We hereby authorize Kaisa Financial Group Company Limited and each associated entity (as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance) of Kaisa Financial Group Company Limited for a period commencing from the date of me/us signing this Account Opening Form up to and including the last day of the eleventh (11th) month next after the date hereof to deal with my/our securities and securities collateral from time to time purchased or held by Kaisa Financial Group Company Limited or any associated entity on my/our behalf in one or more of the following ways without further notice to or consent from me/us:
  - 依据证券借贷协议运用任何有关证券或证券抵押品;  
to apply any of my/our securities or securities collateral to a securities borrowing and lending agreement;
  - 将任何有关证券抵押品存放于认可财务机构, 作为提供予佳兆业金融集团有限公司的财务通融抵押品;  
to deposit any of the securities collateral with an authorized financial institution as collateral for financial accommodation provided to Kaisa Financial Group Company Limited;
  - 将任何有关证券抵押品存放于获香港证券及期货事务监察委员会认可的结算所或另一获发牌或获注册进行证券交易的中介人, 作为解除佳兆业金融集团有限公司在交收上的义务和清偿佳兆业金融集团有限公司在交收上的法律责任的抵押品。



to deposit any of the securities collateral with any clearing house recognized under the Securities and Futures Ordinance or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of Kaisa Financial Group Company Limited's settlement obligations and liabilities.

ii. 本人/我们获悉及确认佳兆業金融集團有限公司将客户的证券及抵押品转按的做法。  
I/We hereby acknowledge, and confirm having been informed by Kaisa Financial Group Company Limited, that Kaisa Financial Group Company Limited has the practice of repledging clients' securities and securities collateral.

iii. 本常设授权并不涵盖佳兆業金融集團有限公司借入、贷出或存放本人/我们任何证券而须支付或收取的任何代价。任何代价均须由本人/我们与佳兆業金融集團有限公司另行签约订明。  
This standing authority does not cover any consideration, which must be set in a separate agreement between me/us and Kaisa Financial Group Company Limited.

iv. 本人/我们的证券可能受制于第三者之权利，佳兆業金融集團有限公司必须于抵偿该等权利后，方将本人/我们的证券交还本人/我们。  
I/We understand that a third party may have rights to my/our securities, which Kaisa Financial Group Company Limited must satisfy before my/our securities can be returned to me/us.

v. 本常设授权以本开户书签署日期起计十二个月内有效，并可于下列情况予以续期，每次续期可有效期十二个月：本人/我们以书面同意续期；若佳兆業金融集團有限公司于该授权有效届满前不少于十四日向本人/我们发出书面通知，而本人/我们于该授权有效期届满前未有提出反对续期。本人/我们有权随时以三十天书面通知佳兆業金融集團有限公司；撤销此常设授权书。  
This standing authority is valid for a period of up to 12 months from the date of me/us signing this Account Opening Form and may be renewed for subsequent periods of not exceeding 12 months if I/we am/are given a written notice from Kaisa Financial Group Company Limited at least 14 days prior to the expiry of such authority and I/we do not object to the renewal of such authority before its expiry. This standing authority may be revoked at any time on giving 30 days' prior written notice to Kaisa Financial Group Company Limited.

vi. 本人/我们明白现时无任何法例规定本人/我们必须签署此授权书；然而，佳兆業金融集團有限公司可能需要此授权书，以便例如向本人/我们提供保证金贷款，或或许将有关本人/我们的证券或证券抵押品供出于第三方或作为抵押品存放于第三方，该第三方将对本人/我们的证券或证券抵押品具有留置权或作出押记。虽然佳兆業金融集團有限公司根据本人/我们的授权而借出或存放属于本人/我们的证券或抵押品须对我本人/我们负责，但佳兆業金融集團有限公司的失责行为可能导致本人/我们损失本人/我们的证券或抵押品。本人/我们同意并确认佳兆業金融集團有限公司及其有联系实体有权收取及保留任何由于处置本人/我们的证券或证券抵押品所产生的任何报酬、收入、回佣或其他利益而无须向本人/我们负责。  
I/We understand that I/we am/are not required by any law to sign this authority but it may be required by Kaisa Financial Group Company Limited, for example, to facilitate margin lending to me/us or to allow my/our securities or securities collateral to be lent to or deposited as collateral with third parties. I/We also understand that if I/we sign this authority and my/our securities or securities collateral are lent to or deposited with third parties, Kaisa Financial Group Company Limited shall remain responsible to me/us for securities or securities collateral lent or deposited under my/our authority, a default by Kaisa Financial Group Company Limited could result in the loss of my/our securities or securities collateral. I/We agree and confirm that Kaisa Financial Group Company Limited and its associated entities shall be entitled to receive and retain for their own benefit and not be accountable to me/us for any remuneration, income, rebates or other benefits resulting from any dealing with my/our securities or securities collateral.

本人/我们同意本人/我们的个人资料被用作贵公司之通告中所指的服务，及/或转移至佳兆業金融集團有限公司，及/或它的任何集团公司用于享受集团关联公司的客户礼遇、尽职调查或资料统计。  
I/We agree to the use of my personal data for the service specified in your company's notice and/or transfer of my personal data to Kaisa Financial Group Company Limited and their group companies for acquiring the related customer rewards, due diligence or data analysis.

**11. 客户签署 Client Signature**

客户签署 Signed by Client	客户签署 Signed by Client (联名帐户 Joint A/C)
客户名称 Client Name	客户名称 Client Name
日期 Date:	日期 Date:
见证人 in the presence of:	
_____	所属专业及职衔 Profession and Title: _____
见证人签署 Signature of Witness	
姓名 Name: _____	日期 Date: _____

**12. 职员声明 Declaration by Staff**

本人，以持牌代表人身份，确认本人已按照上述客户所选择的语言提供风险披露声明之副本及邀请客户阅读该风险披露声明、提出问题及征求独立意见(如客户有此意愿)。  
I, a licensed person, declare that I have provided the above client with a copy of the Risk Disclosure Statement in a language of the clients' choice and invited the client to read the Risk Disclosure Statement, ask questions and take independent advice if the client so wishes.  
#以上客户签署乃于本人面前签立。The above client signature(s) was/were made in my presence.

职员签署 Signed by Staff	日期 Date: _____
职员姓名 Name of Staff	中央编号 CE Number: _____



## 个人/联名帐户签名样式 Individual / Joint Account Specimen Signature

帐户号码 Account Number	
授权签名及印鉴 Authorized Signature and Stamp	授权签名及印鉴 Authorized Signature and Stamp (联名帐户适用 For Joint A/C only)
客户名称 Client Name	客户名称 Client Name
联名帐户适用 For Joint A/C only	<input type="checkbox"/> 所有帐户书面指示须由两位帐户持有人同时签署 All written instruction of the account should be jointly signed by both account holders
备注 Remarks	日期 Date:

## 本公司内部使用 For Office Use Only

核对清单 Check List	AE Name:	AE Code:		
<input type="checkbox"/> 客户年满 18 岁 <input type="checkbox"/> 身份证/护照副本 <input type="checkbox"/> 最近三个月内附客户姓名之住址证明 <input type="checkbox"/> W-8BEN / W-9 表格 (如适用) <input type="checkbox"/> 核实签署之支票 (如适用) <input type="checkbox"/> 见证人之身份证明及专业资格证明文件 (如适用) <input type="checkbox"/> 重复帐户/黑名单帐户 <input type="checkbox"/> DOW JONES Checker: _____ Date: _____	佣金收费			
	港股 HK\$	沪深 A 股 CNY\$	环球证券 US\$	
	普通 %; Min \$	普通 %; Min \$	普通 %; Min \$	
	网上 %; Min \$	网上 %; Min \$	网上 %; Min \$	
	Rebate To:		Rebate %	
	Trading Limit:		Interest Rate: P + %	
	Loan Limit:			

<b>Settlement Instruction</b>		
<input type="checkbox"/> Mail 邮寄	<input type="checkbox"/> 电邮 Email	<input type="checkbox"/> 传真 Fax
客户交收方法	<input type="checkbox"/> 收取支票	<input type="checkbox"/> 续存户口

<b>开户审批 Approval of Account Opening</b>		
Input by:	Checked by:	Approved by:
Name:	Name:	Name:
Division:	Division:	Division:
Date:	Date:	Date: